

03/09/00  
Jc678 U.S. PTO  
09/522055

PATENT

ATTORNEY DOCKET NO.: 044574-5064

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents

Box Patent Application

Washington, D.C. 20231

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09/522055  
03/09/00

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor(s): Yung-Chi CHENG, Woodbridge, CT  
Shwu-Huey LIU, Madison, CT

For: HERBAL COMPOSITION PHY-906 AND ITS USE IN CHEMOTHERAPY

1. This new application is for a:  
☒ Utility                      ☐ Design                      ☐ Plant
2. Papers enclosed which are required for a filing date:  
53 Pages of specification including  
0 Title Page  
4 Pages of claims and  
1 Page(s) of Abstract  
8 Sheets of              ☐ FORMAL              ☒ INFORMAL
3. Combined Declaration and Power of Attorney  
☐ Enclosed - and is executed by all inventors  
☒ Not Enclosed  
This application is being filed under the provisions of 37 C.F.R. §1.53(d).  
Applicant(s) await notification from the Patent and Trademark Office of the time  
set for filing the Declaration and paying the filing fees.
4. Language  
☒ English  
☐ Non-English  
This application is being filed in accordance with 37 C.F.R. §1.52(d) and §608.01  
of the MPEP. Applicant(s) await notification from the Patent and Trademark  
Office of the time set for filing the verified English translation and the processing  
fee.

## 5. Assignment

☐ is attached and Assignment of the invention is to \_\_\_\_\_  
☐ also enclosed is the Form PTO 1595, Recordation Form Cover Sheet.

☒ will be filed at a later date

## 6. Certified Copy

Application(s) from which priority is claimed are:

Country	Application No.	Filed

Certified copy(ies) is/are ☐ attached ☐ will follow

## 7. Fee Calculation

CLAIMS AS FILED				
	Number Filed	Number Extra	at Rate of	Basic Fee Utility\$690.
Total Claims (37 CFR 1.16(c))	- 20 =		\$ 18.00 each=	+
Independent Claims (37 CFR 1.16(b))	- 3 =		\$ 78.00 each=	+
Multiple dependent claim(s), if any (37 CFR 1.16(d))			\$260.00	+
SUB-TOTAL =				
Reduction by 1/2 for filing by a small entity				- \$
TOTAL FILING FEE =				\$

## 8. Small Entity Statement(s)

☐ Verified Statement(s) that this is a filing by a small entity under 37 C.F.R. §1.9 and §1.27 is(are) attached.

9. Fee Payment

☒ Not Enclosed.

**NO FEE IS BEING PAID BY CHECK OR DEPOSIT ACCOUNT AT THIS TIME.**

This application is being filed under the provisions of 37 C.F.R. §1.53(d).

Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.

**Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 CFR §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account 50-0310.


10. Additional papers enclosed.

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement and Form PTO-1449
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

**Please accord an application number and filing date.**

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**



Erich E. Veitenheimer

Reg. No. 40,420

Dated: March 9, 2000

**MORGAN, LEWIS & BOCKIUS LLP**

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